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Substitute for form 1449B/PTO <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> <i>(use as many sheets as necessary)</i>				Complete if Known	
Sheet	1	of	1	Application Number	09/897,755
				Filing Date	07/03/2001
				First Named Inventor	Peng Cho TANG
				Group Art Unit	1628
				Examiner Name	Sonya N. Wright
				Attorney Docket Number	034536-0107

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## FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document			Name of Patentee or Applicant of Cited Documents	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>2</sup>
		Office <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)				
KS	A1	EP	0 252 713	B1	PFIZER INC.	09-12-1990		

## NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
KS	A2	DESIMONI et al., "Catalysis with Inorganic Cations. V. <sup>1</sup> Intramolecular Hetero Diels-Alder versus Ene Reactions: Effect of Magnesium Perchlorate on Chemoselectivity," <i>Tetrahedron</i> , 1996, pp. 1200-12018. Vol. 52, No. 38, Elsevier Science Ltd.	
KS	A3	GIBSON et al., "Cleavage of Halogenobenzophenones by Potassium in Ammonia; New Routes to Xanthem- and Thioxanthen-9-ones," <i>J.C.S. Perkin I</i> , 1975, pp. 155-160	
KS	A4	KULKA et al., "i-Butyl as a Blocking Group in the Synthesis of o-Hydroxybenzophenones," November 5, 1954, pp. 5469-5471	

Examiner Signature	Kamal Soeed	Date Considered	08/03/04
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<sup>1</sup> Unique citation designation number. <sup>2</sup>See attached Kinds of U.S. Patent Documents. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.

<sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

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FORM PTO-1449

## LIST OF PATENTS AND OTHER ITEMS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT



(Use several sheets if necessary)

ATTY. DCKET NO. 38602-1220	SERIAL NO. 09/897,755
APPLICANT: Peng Cho Tang et al.	
FILING DATE: 7/3/01	GROUP: 1626

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER							DATE	NAME	CLASS	SUB CLASS	FLING DATE
KS	AA	2	9	6	8	5	5	7	01/17/91	Burgard et al.	—	—
	AB	5	4	0	9	9	4	9	04/95	Buzzetti et al.	—	—
	AC	5	3	9	7	7	8	7	03/14/95	Buzzetti et al.	—	—
	AD	5	3	7	4	6	5	2	12/20/94	Buzzetti et al.	—	—
	AE	5	1	2	4	3	4	7	06/23/92	Connor et al.	—	—
	AF	5	3	3	0	9	9	2	07/19/94	Essenstat et al.	—	—
	AG	5	4	6	3	0	5	2	10/31/95	Haga et al.	—	—
	AH	2	8	7	2	3	7	2	02/03/99	Hull	—	—
	AI	5	2	0	6	2	6	1	04/93	Kawaguchi et al.	—	—
	AJ	4	8	6	8	3	0	4	09/19/89	Izrock et al.	—	—
	AK	5	3	8	2	5	9	3	01/95	Le Bas	—	—
	AL	5	2	1	7	9	9	9	06/08/93	Levitid	—	—
	AM	4	6	4	2	3	0	9	02/10/87	Michel et al.	—	—
	AN	4	8	2	6	8	4	7	05/02/89	Michel et al.	—	—
	AO	5	0	5	1	4	1	7	09/24/91	Nader et al.	—	—
	AP	4	9	7	1	9	9	6	11/20/90	Shirashi et al.	—	—
	AQ	4	8	5	3	4	0	3	08/01/89	Shirashi et al.	—	—
	AR	5	2	0	2	3	4	1	04/13/93	Shirashi et al.	—	—
	AS	5	0	5	7	5	3	8	10/15/91	Shirashi et al.	—	—
	AT	5	0	8	9	5	1	6	02/18/92	Shirashi et al.	—	—
	AU	5	3	2	2	9	5	0	06/94	Smor et al.	—	—
	AV	5	3	0	2	6	0	6	04/12/94	Spada et al.	—	—
KS	AW	4	9	6	6	8	4	9	10/30/90	Vallee et al.	—	—

## FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER							DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION		
													YES	NO	
KS	AX	0	5	6	6	2	6	6	15/1/93	EPO (Barker et al.)	—	—	—	—	—
KS	AY	9	4	1	4	8	0	8	07/07/94	WO/PCT (Buzzetti et al.)	—	—	—	—	—
KS	AZ	0	5	2	5	4	7	2	03/02/93	EPO (Buzzetti et al.)	—	—	—	—	—
KS	BA	9	5	0	1	3	4	9	12/01/95	WO/PCT (Buzzetti et al.)	—	—	—	—	—
KS	BB	9	6	1	6	9	6	4	06/06/96	WO/PCT (Buzzetti et al.)	—	—	—	—	—
KS	BC	0	6	6	2	4	7	3	12/07/95	EPO (Buzzetti et al.)	—	—	—	—	—

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FOREIGN PATENT DOCUMENTS													
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		1	2	3	4	5	6					YES	NO
KS	AA	0	6	2	6	3	7	7	11/30/94	EPO (Maga et al.)	—	—	
	AB	9	7	3	6	8	6	7	10/09/97	WO/PCT (Howard)	—	—	
	AC	9	2	8	6	7	7	7	11/04/97	Japan	—	—	
	AD	9	5	1	4	6	6	7	06/01/95	WO/PCT (Masamune)	—	—	
	AE	3	3	1	0	8	9	1	09/27/84	Germany (Michel et al.)	—	—	
	AF	3	4	2	6	4	1	9	01/23/86	Germany (Michel et al.)	—	—	
	AG	9	7	2	5	9	8	6	07/24/97	WO/PCT (Sao et al.)	—	—	
KS	AH	0	7	8	8	8	9	0	08/13/97	EPO (Nahmadel)	—	—	

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)		
KS	AI	Howard, Provisional Patent Application No. 60/015,134 filed March 29, 1996 for "Lactam Derivatives"

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FORM PTO-1449		ATTY. DOCKET NO.	SERIAL NO.
		38602/1220	09/897,755
LIST OF PATENTS AND OTHER ITEMS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT		APPLICANT: Peng Cho Tang et al.	
(Use several sheets if necessary)		FILING DATE: 7/3/01	GROUP: 1626

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APPLICANT: Peng Cho Tang et al.	
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## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

FS	CI	Abramovitch et al., "A Novel Synthesis of a Cyclic Hydroxamic Acid Involving a Molecular Rearrangement," <i>Chemistry and Industry</i> 44:1871 (1967)
	CK	Abramovitch and Hey, "Internuclear cyclisation," <i>J. Chem. Soc.</i> pp. 1697-1703 (1954)
	CL	Albasak and Suner-Albasak et al., "Oncogenes: cause or consequence in the development of glial tumor," <i>J. Neurol. Sci.</i> 111:119-133 (1992)
	CM	Andreani et al., "Synthesis and cardiotonic activity of 2-Indolinones," <i>Eur. J. Med. Chem.</i> 25:187-190 (1990)
	CN	Andreani et al., "Synthesis and cardiotonic activity of 2-Indolinones bearing pyridyl groups," <i>Eur. J. Med. Chem.</i> 28:653-657 (1993)
	CO	Andreani et al., "Synthesis of lactams with potential cardiotonic activity," <i>Eur. J. Med. Chem.</i> 28:825-829 (1993)
	CP	Andreani et al., "Synthesis and cardiotonic activity of pyridylmethylene-2-Indolinones," <i>Eur. J. Med. Chem.</i> 27:167-170 (1992)
	CQ	Arteaga et al., "Blockade of the type I somatomedin receptor inhibits growth of human breast cancer cells in athymic mice," <i>J. Clin. Invest.</i> 84:1418-1423 (1989)
	CR	Aubrey and Tahk, "The Synthesis and Stereochemistry of Some Isatylideneacetic Acid Derivatives," <i>Tetrahedron</i> 23:901-917 (1967)
	CS	Bahner et al., "BenzylideneIndenes with Oxygen Attached to the Indene Ring," <i>J. Med. Chem.</i> 12:721-722 (1969)
	CT	Bamfield et al., "Diels-Alder Reactions of Oxindolylideneacetone," <i>J. Chem. Soc. (Q)</i> pp. 1028-1030 (1966)
	CU	Baserga, "Oncogenes and the strategy of growth factors," <i>Cell</i> 79:927-930 (1994)
	CY	Baserga, "The Insulin-like growth factor I receptor: a key to tumor growth," <i>Cancer Res.</i> 55:249-252 (1995)
	CW	Blake and Jaques, "Anisotropic Effects in alpha-substituted methoxystilbenes," <i>J. Chem. Soc. Perkin II</i> pp. 1660-1663 (1973)
	CX	Bolen et al., "The Src family of tyrosine protein kinases in hemopoietic signal transduction," <i>FASEB J.</i> 6:3403-3409 (1992)
	CY	Bolen, "Nonreceptor tyrosine protein kinases," <i>Oncogene</i> 8:2025-2031 (1993)
	CZ	Borsche et al., "Über nichtkernige kondensierte Systeme mit heterocyclischen Ringen," <i>Klebiss Ann. Chem.</i> 550:160-174 (1941)
	DA	Buzzetti et al., "Cinnamamide Analogs as Inhibitors of Protein Tyrosine Kinases," <i>J. Farmaco</i> 48:615-636 (1993)
	DB	Canoira and Rodriguez, "Synthesis of Oxindole Derivatives from N-Alkenyl- $\alpha$ -Chloroanilides with Zero-Valent Nickel Complex," <i>J. Heterocyclic Chem.</i> 22:1511-1518 (1985)
	DC	Chatten et al., "Substituted Oxindoles. Part VI. Polarographic Reduction of Substituted trans-3-benzylideneindol-2(3H)-ones," <i>J. Chem. Soc. Perkin II</i> pp. 469-473 (1973)
	DD	Coda et al., "(Z)- and (E)-Arylidene-1,3-dihydroindol-2-ones: Configuration, Conformation and Infrared Carbonyl Stretching Frequencies," <i>J. Chem. Soc. Perkin II</i> pp. 615-619 (1984)
FS	DE	Coppola et al., "A functional insulin-like growth factor I receptor is required for the mitogenic and transforming activities of the epidermal growth factor receptor," <i>Mol. Cell. Biol.</i> 14:4588-4595 (1994)

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38602/1220	09/897-755
APPLICANT:	
Peng Cho Tang et al.	

FILING DATE:	GROUP:
7/3/01	1625

KS	DF	Daisley, "Thin-layer chromatographic separation of some substituted 3-benzylidene-Indol-2(3H)-ones," <i>J. Chromatography</i> 100:240-242 (1974)
	DG	Datti et al., "Inhibition of c-erbB-2 oncogene expression by estrogens in human breast cancer cells," <i>Oncogen</i> 5:1001-1006 (1990)
	DH	De Vries et al., "The fms-Like Tyrosine Kinase, a Receptor for Vascular Endothelial Growth Factor," <i>Science</i> 255:989-991 (1992)
	DI	Decker and Lohmann-Matthes, "A quick and simple method for the quantitation of lactate dehydrogenase release in measurements of cellular cytotoxicity and tumor necrosis factor (TNF) activity," <i>J. Immunol. Methods</i> 15:61-69 (1988)
	DJ	Dickson et al., "Tyrosine kinase receptor-nuclear protooncogene interactions in breast cancer," <i>Cancer Treatment Res.</i> 61:249-273 (1992)
	DK	Elliott and Rivers, "Reduction of some oxindolylidene derivatives to 3-substituted oxindoles by sodium borohydride," <i>J. Org. Chem.</i> 29:2438-2440 (1964)
	DL	Fanti et al., "Distinct Phosphotyrosines on a Growth Factor Receptor Bind to Specific Molecules That Mediate Different Signaling Pathways," <i>Cell</i> 69:413-423 (1992)
	DM	Fendly et al., "Characterization of Murine Monoclonal Antibodies Reactive to Either the Human or Epidermal Growth Factor Receptor or HER2/neu Gene Product" <i>Cancer Research</i> 50:1550-1558 (1990)
	DN	Ferrara and Henzel, "Pituitary Follicular Cells Secrete a Novel Heparin-Binding Growth Factor Specific for Vascular Endothelial Cells," <i>Biochem. Biophys. Res. Commun.</i> 161:851-858 (1989)
	DO	Fingl and Woodbury, Chapter 1, pp.1-46 in <i>The Pharmacological Basis of Therapeutics</i> (5th edition), eds. Goodman et al., MacMillan Publishing Co., Inc., New York (1975)
	DP	Floegel et al., "Factors involved in the regulation of mesangial cell proliferation <i>in vitro</i> and <i>in vivo</i> ," <i>Kidney International</i> 43S:47-54 (1993)
	DQ	Folkman and Shing, "Angiogenesis," <i>J. Biol. Chem.</i> 267:10931-10934 (1992)
	DR	Folkman, "What is the Evidence that Tumors are Angiogenesis Dependent?" <i>Journal of the National Cancer Institute</i> 82:4-6 (1990)
	DS	Goldring, "Cytokines and cell growth control," <i>Critical Reviews in Eukaryotic Gene Expression</i> 1:301-326 (1991)
	DT	Gottardis et al., "Estradiol-Stimulated Growth of MCF-7 Tumors Implanted in Athymic Mice: A Model to Study the Tumoristatic Action of Tamoxifen," <i>J. Steroid Biochem.</i> 30(1-6):311-314 (1988)
	DU	Hewgill and Stewart, "Phenanthrene-4,5-quinones: a Synthesis of Morphenol," <i>J. Chem. Soc. Perkin Trans. I</i> pp. 1305-1311 (1988)
	DV	Hodges et al., "Chemical and biological properties of some oxindolyl-3-methines," <i>Canadian J. Chemistry</i> 46:2189-2194 (1968)
	DW	Honegger et al., "Point Mutation at the ATP Binding Site of EGF Receptor Abolishes Protein-Tyrosine Kinase Activity and Alters Cellular Routing," <i>Cell</i> 5:199-209 (1987)
	DX	Houck et al., "Dual Regulation of Vascular Endothelial Growth Factor Bioavailability by Genetic and Proteolytic Mechanisms," <i>J. Biol. Chem.</i> 267:26031-26037 (1992)
	DY	Howard et al., "Synthesis and aldose reductase inhibitory activity of substituted 2(1H)-benzimidazolone- and oxindole-1-acetic acids," <i>Eur. J. Med. Chem.</i> 27:779-789 (1992)
KS	DZ	Ijaz et al., "The Conversion of $\alpha,\beta$ -Dinitrostyrenes into Indoles and the Preparation of Oxindole Quinones," <i>J. Chem. Res. (S)</i> pp. 116 (1990)

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7/3/01	1620

KS	EA	Jellinek et al., "Inhibition of Receptor Binding by High-Affinity RNA Ligands to Vascular Endothelial Growth Factor," <i>Biochemistry</i> 33:10450-10456 (1994)
	EB	Katritzky et al., "Color and Constitution. Part B[1]. Some Novel Dyestuffs Containing Indoxyl Residues," <i>J. Heterocyclic Chem.</i> 25:1287-1292 (1988)
	EC	Kendall and Thomas, "Inhibition of vascular endothelial cell growth factor activity by an endogenously encoded soluble receptor," <i>Proc. Natl. Acad. Sci. USA</i> 90:10705-10709 (1993)
	ED	Khalil and Abdel-Rahman, "Synthesis of New Mero- and Asymmetrical Pyrazolo-Monomethine Cyanine Dyes," <i>J. Indian Chem. Soc.</i> 54:904-907 (1977)
	EE	Kim et al., "Inhibition of vascular endothelial growth factor-induced angiogenesis suppresses tumour growth <i>in vivo</i> ," <i>Nature</i> 362:841-844 (1993)
	EF	Kinsella et al., "Protein Kinase C Regulates Endothelial Cell Tube Formation on Basement Membrane Matrix, Matrigel," <i>Exp. Cell Research</i> 199:52-62 (1992)
	EG	Klagsbrun and Soker, "VEGF/VPF: the angiogenesis factor found!" <i>Current Biology</i> 3:699-702 (1993)
	EH	Kobayashi et al., "Anti-tumor Activity of Indole Derivatives," <i>Yakugaku Zasshi</i> 97(9):1033-1039 (1977)
	EI	Koch et al., "SH2 and SH3 Domains: Elements That Control Interactions of Cytoplasmic Signaling Proteins," <i>Science</i> 252:668-674 (1991)
	EJ	Korc et al., "Overexpression of the epidermal growth factor receptor in human pancreatic cancer is associated with concomitant increases in the levels of epidermal growth factor and transforming growth factor alpha," <i>J. Clin. Invest.</i> 90:1352-1360 (1992)
	EK	Korzeniewski and Callewaert, "An Enzyme-Release Assay for Natural Cytotoxicity," <i>J. Immunol. Methods</i> 64:313-320 (1983)
	EL	Kovac and Stel'lnova, "Furan derivatives LXXX. Synthesis and properties of substituted furfurylidenoindoles," <i>Chem. Listy</i> 30:484-492 (1976)
	EM	Kumbaa et al., "Amplification of alpha-platelet-derived growth factor receptor gene lacking an exon coding for a portion of the extracellular region in a primary brain tumor of glial origin," <i>Oncogene</i> 7:627-633 (1992)
	EN	Larock and Babu, "Synthesis of Nitrogen Heterocycles via Palladium-catalyzed Intramolecular Cyclization," <i>Isr. J. Chem.</i> 28:S2991-S2994 (1987)
	EO	Lee and Donoghue, "Intracellular retention of membrane-anchored v-sis protein abrogates autocrine signal transduction," <i>J. Cell. Biol.</i> 118:1057-1070 (1992)
	EP	MacCauley et al., "Autocrine function for insulin-like growth factor I in human small cell lung cancer cell lines and fresh tumor cells," <i>Cancer Res.</i> 50:2511-2517 (1990)
	EQ	Mariani et al., "Inhibition of angiogenesis by PCE 26806, a potent tyrosine kinase inhibitor," <i>Experimental Therapeutics - Proceedings of the American Association for Cancer Research</i> 35:181 at abstract no. 2268 (March 1994)
	ER	Martin-Lehn et al., "On the Cyclization to the Elusive Amino-4H-pyran Ring," <i>Liebigs Ann. Chem.</i> pp. 101-104 (1990)
	ES	Mirand et al., "A Synthetic Entry in the Aristotelia Alkaloids," <i>J. Org. Chem.</i> 47:4169-4170 (1982)
	ET	Mosmann, "Rapid Colorimetric Assay for Cellular Growth and Survival: Application to Proliferation and Cytotoxicity Assays," <i>J. Immunol. Methods</i> 65:55-63 (1983)
KS	EU	Neber and Rocker, "Ueber die einwirkung von benzaldehyden auf die freie o-aminophenyl-essigsäure," <i>Chem. Ber.</i> 56:1710-1717 (1923)

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APPLICANT: Peng Cho Tang et al.		
FILING DATE: 7/3/01	GROUP 163	

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KS	EV	Nodiff et al., "Antimalarial Phenanthrene Amino Alcohols. 1. Fluorine-Containing 3- and 6-Substituted 9-Phenanthrenemethanols," <i>J. Med. Chem.</i> 14:921-925 (1971)
	EW	O'Sullivan and Rotherapy, "The Preparation and Possible Clinical Significance of 4'-Dialkylaminocinodogenides," <i>Clinica Chimica Acta</i> 62:181-182 (1975)
	EX	Osborne et al., "Effect of Estrogens and Antiestrogens on Growth of Human Breast Cancer Cells in Athymic Nude Mice," <i>Cancer Research</i> 45:584-590 (1985)
	EY	Ozzello and Sordat, "Behavior of Tumors Produced by Transplantation of Human Mammary Cell Lines in Athymic Nude Mice," <i>Eur. J. Cancer</i> 16:553-559 (1980)
	EZ	Pavlenko et al., "Introduction of aminomethyl groups into heterocyclic C4-acid molecules," <i>Danov. Akad. Nauk Ukr. RSR</i> 7:64-66 (1980)
	FA	Plate, "Vascular endothelial growth factor is potential tumor angiogenesis factor in human gliomas <i>in vivo</i> ," <i>Nature</i> 359:843-848 (1992)
	FB	Plowman et al., "Receptor Tyrosine Kinases as Targets for Drug Intervention," <i>DN&amp;P</i> 7(6):334-339 (1994)
	FC	Ruveda and Gonzalez, "Geometric isomerism in benzylideneoxindoles," <i>Spectrochimica Acta</i> 26A:1275-1277 (1970)
	FD	Rygaard and Povlsen, "Heterotransplantation of a Human Malignant Tumour to 'Nude' Mice," <i>Acta path. microbiol. scand.</i> 77:758-760 (1969)
	FE	Sandberg-Nordqvist et al., "Characterization of insulin-like growth factor 1 in human primary brain tumors," <i>Cancer Res.</i> 53:2475-2478 (1993)
	FF	Schindler et al., "Über Dibenz[b,f]-azocin-Derivate," <i>Helvetica Chimica Acta</i> 49:985-989 (1966)
	FG	Schlessinger and Ullrich, "Growth Factor Signalling by Receptor Tyrosine Kinases," <i>Neuron</i> 9:383-391 (1992)
	FH	Schnierle et al., "Vilsmeier-Reaktion mit Pyrrol- und Pyrrolon-Derivaten," <i>Liebigs Ann. Chem.</i> 715:90-97 (1968)
	FI	Schuchter et al., "Successful Treatment of Murine Melanoma with Bryostatin 1," <i>Cancer Research</i> 51:682-687 (1991)
	FJ	Selbert et al., "Clonal Variation of MCF-7 Breast Cancer Cells <i>In Vitro</i> and in Athymic Nude Mice," <i>Cancer Research</i> 43:2223-2239 (1983)
	FK	Shafie and Grantham, "Role of Hormones in Growth and Regression of Human Breast Cancer Cells (MCF-7) Transplanted into Athymic Nude Mice," <i>J. Natl. Cancer Institute</i> 67(1):51-56 (1981)
	FL	Shibuya et al., "Nucleotide sequence and expression of a novel human receptor-type tyrosine kinase gene (fms) closely related to the fms family," <i>Oncogene</i> 5:519-524 (1990)
	FM	Shiraishi, "Specific Inhibitors of Tyrosine-Specific Protein Kinase, Synthetic 4-Hydroxycinnamamide Derivatives," <i>Biochemical and Biophysical Research Communications</i> 147:322-328 (1987)
	FN	Shiraishi et al., "Specific Inhibitors of Tyrosine-Specific Protein Kinases: Properties of 4-Hydroxycinnamamide Derivatives <i>In Vitro</i> ," <i>Cancer Research</i> 49:2374-2378 (1989)
	FO	Shweiki, "Vascular endothelial growth factor induced by hypoxia may mediate hypoxia-initiated angiogenesis," <i>Nature</i> 359:843-845 (1992)
	FP	Skehan et al., "New Colorimetric Cytotoxicity Assay for Anticancer-Drug Screening," <i>J. Natl. Cancer Inst.</i> 82:1107-1112 (1990)
KS	FQ	Sliamont et al., "Studies of the HER-2/neu Proto-oncogene in Human Breast and Ovarian Cancer," <i>Science</i> 244:707-712 (1989)

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FORM PTO-1449		ATTY. DOCKET NO.	SERIAL NO.
		38602-1220	09/897,753
		APPLICANT:	
		Peng Cho Tang et al.	
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<p>LIST OF PATENTS AND OTHER ITEMS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT</p> <p>(Use several sheets if necessary)</p>			

ES	FR	Songyang et al., "Specific motifs recognized by the SH2 domains of Csk, 3BP2, <i>sps/fer</i> , CRB-2, HCP, SHC, Syk and Vav," <i>Molecular and Cellular Biology</i> 14:2777-2785 (1994)
	FS	Songyang et al., "SH2 Domains Recognize Specific Phosphopeptide Sequences," <i>Cell</i> 72:767-778 (1993)
	FT	Stetinova et al., "Stereochemistry and Photoisomerisation of Furfurylideneoxindoles," <i>Collection Czechoslov. Chem. Commun.</i> 42:2201-2206 (1976)
	FU	Sumpter and Miller, "Chapter IV - Oxindole," in <i>Heterocyclic Compounds With Indole and Carbazole Systems</i> , Interscience Publishers, Inc., New York, pp. 134-153 (1954)
	FV	Tacconi et al., "(Z)- and (E)-3-Alkylidene-1,3-dihydroindol-2-ones: Influence of Configuration on the Transmission of the Inductive Effect to the Carbonyl Group," <i>J.C.S. Perkin II</i> pp. 150-154 (1976)
	FW	Tacconi and Marinone, "Preparazione e caratteristiche di alcuni 3-ossindolidenderivati," <i>Ricerca Scientifica</i> 38:1239-1244 (1968)
	FX	Takano et al., "Inhibition of angiogenesis by a novel diaminoanthraquinone that inhibits protein kinase C," <i>Mol. Bio. Cell</i> 4:358A (1993)
	FY	Thompson et al., "Facile Dimerisation of 3-Benzylideneindoline-2-thiones," <i>J. Chem. Soc. Perkin Trans. (I)</i> pp. 1835-1837 (1993)
	FZ	Torp et al., "Expression of the epidermal growth factor receptor gene in human brain metastases," <i>AMPIIS</i> 100:713-719 (1992)
	GA	Triels et al., "Über Isoindigoide Farbstoffe der Pyrrol-Reihe," <i>Liebigs Ann. Chem.</i> 702:112-130 (1967)
	GB	Tuzi et al., "Expression of growth factor receptors in human brain tumours," <i>Br. J. Cancer</i> 63:227-233 (1991)
	GC	Ulrich and Schlessinger, "Signal Transduction by Receptors with Tyrosine Kinase Activity," <i>Cell</i> 61:203-212 (1990)
	GD	Vaisman et al., "Characterization of the Receptors for Vascular Endothelial Growth Factor," <i>J. Biol. Chem.</i> 265:19461-19466 (1990)
	GE	Varma and Gupta, "Nucleophilic Reactions of 2-Methyl-3-(4'-carbomethoxyphenyl)-4-quinazolinones with 2-Indolinones," <i>J. Indian Chem. Soc.</i> 66:804-805 (1989)
	GF	Voller et al., "Enzyme-Linked Immunosorbent Assay," in <i>Manual of Clinical Immunology</i> , 2nd edition, Rose and Friedman editors, American Society of Microbiology, Washington, D.C., pp. 359-371 (1980)
	GG	von Dobeneck et al., " $\alpha,\beta$ -Diindolylmethane und -methene. Der Urorosein-Chromophor," <i>Zur Chemie des Indols</i> VI:1347-1357 (1969)
	GH	Wahl et al., "Chimie Organique - Sur les iso-indogenides," <i>C.R. Hebd. Séances Acad. Sci.</i> 149:132-134 (July 1909)
	GI	Walker, "Synthesis of New 3-(Pyridylmethylene)-, 3-(Pyridylmethyl)-, 3-(Piperidylmethyl)-, and 3-(4-Alkylaminoethyl)-2-Indolinones. The Reduction of Isoindogenides, Nitro Compounds, and Pyridines in a Series of 2-Indolinones," <i>J. Med. Chem.</i> 8(5):626-637 (1965)
	GJ	Walker, "Synthesis of a $\alpha$ -(p-Aminophenyl)- and $\alpha$ -(p-Chlorophenyl)- $\beta$ -aryl-propionitriles by Catalytic Reduction of Stilbenenitriles," <i>J. Med. Chem.</i> 8(5):583-588 (1965)
	GK	Wari et al., "Estrogen Suppression of erbB2 Expression is Associated with Increased Growth Rate of ZR-75-1 Human Breast Cancer Cells <i>In Vitro</i> and in Nude Mice," <i>Int. J. Cancer</i> 49:616-623 (1991)
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<b>EXAMINER:</b> Kamal Saeed	<b>DATE CONSIDERED:</b> 08/03/04
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ATTY. DOCKET NO. 38602-1220	SERIAL NO. 09/857,755
APPLICANT: Peng Cho Tang et al.	
FILING DATE: 7/3/01	GROUP: 1687

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KS	GM	Winkelmann et al., "Chemotherapeutically Active Nitro Compounds: 4, 5-Nitroimidazoles (Part D)," <i>Arzneim-Forsch./Drug Res.</i> 27(II):2251-2263 (1977)
KS	GN	Wright et al., "Cyclic Hydroxamic Acids Derived from Indole," <i>JACS</i> 78:221-224 (1956)
KS	GO	Wright et al., "Inhibition of Angiogenesis in Vitro and In Ovo With an Inhibitor of Cellular Protein Kinases, MDL 27032," <i>J. Cellular Physiology</i> 152:448-457 (1992)
KS	GP	Young and Babbitt, "2-(2-Methyl-3-indolyl)-1,4-benzoquinone, a Reversible Redox Substrate at the Carbon Paste Electrode in Acidic Aqueous-Ethanol Media," <i>J. Org. Chem.</i> 47:1571-1572 (1982)
KS	CQ	Zhungleu et al., "Reaction of Indoles and 2-Ketoindolines With Some Aldehydes," <i>Institute of Chemistry, Academy of Science of the Moldavian SSR, Kishinev</i> pp. 34-37 translated from <i>Khimiya Geterotsiklicheskikh Soedinenii</i> 1:40-44 (1973)

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FORM PTO-1449		ATTY. DOCKET NO.	SERIAL NO.
LIST OF PATENTS AND OTHER ITEMS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT  <i>O I P E</i> <i>USPTO</i> <i>(Use several sheets if necessary)</i>		38602-1220	09/897,755
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EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE

FOREIGN PATENT DOCUMENTS							
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION	
<i>KS</i>	AA	WO 99/10325	04.03.99	PCT (McNutt et al.)	—	—	YES NO

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)							
<i>KS</i>	AB	Andreani et al., "In Vivo Cardiotonic Activity of Pyridylmethylene-2-Indolinones," <i>Arzneimittel-Forschung Drug Research</i> 48(II):727-729 (1998)					
	AC	Carpenedo et al., "Identification and Measurement of Oxindole (2-Indolinone) in the Mammalian Brain and Other Rat Organs," <i>Analytical Biochemistry</i> 244:74-79 (1997)					
	AD	Chen et al., "Effects of 3,3-Dipyridylmethyl-1-Phenyl-2-Indolinone on $\gamma$ -Aminobutyric Acid Elicted Chloride Current of Snail Central Neuron," <i>Chinese Journal of Physiology</i> 40(3):149-158 (1997)					
	AE	Damiani et al., "Inhibition of Copper-Mediated Low Density Lipoprotein Peroxidation by Quinoline and Indolinone Nitroxide Radicals," <i>Biochemical Pharmacology</i> 48(6):1155-1161 (1994)					
	AF	Davis et al., "Synthesis and Microbiological Properties of 3-Amino-1-Hydroxy-2-Indolinone and Related Compounds," <i>Journal of Medicinal Chemistry</i> 16(9):1043-1045 (1973)					
	AG	Graziani et al., "Hepatocyte Growth Factor/Scatter Factor Stimulates the Ras-Guanine Nucleotide Exchanger," <i>The Journal of Biological Chemistry</i> 268(13):9165-9168 (1993)					
	AH	Kato et al., "Simultaneous Determination of Amfenac Sodium and its Metabolite (7-Benzoyl-2-Oxindole) in Human Plasma by High-Performance Liquid Chromatography," <i>Journal of Chromatography</i> 616:67-71 (1993)					
	AI	Maass et al., "Viral Resistance to the Thiazolo-Iso-Indolinones, a New Class of Nonnucleoside Inhibitors of Human Immunodeficiency Virus Type 1 Reverse Transcriptase," <i>Antimicrobial Agents and Chemotherapy</i> 37(12):2612-2617 (1993)					
	AJ	Moreto et al., "3,3-Bis-(4-Hydroxyphenyl)-7-Methyl-2-Indolinone (BHMI), the Active Metabolite of the Laxative Sulfisatin," <i>Arzneimittel-Forschung Drug Research</i> 29(II):1561-1584 (1979)					
	AK	Moreto et al., "Study of the Laxative Properties of the Disodium Salt of the Sulfuric Diester of 3,3 Bis-(4-Hydroxyphenyl)-7-Methyl-2-Indolinone (Dan-803) in the Rat," <i>European Journal of Pharmacology</i> 36:221-226 (1976)					
	AL	Singh et al., "Indolinone Derivatives as Potential Antimicrobial Agents," <i>Zentralbl. Mikrobiol.</i> 144:105-109 (1989)					
<i>KS</i>	AM	Singh et al., "Synthesis and Anticonvulsant Activity of New 1-Substituted 1'-Methyl-3-Chloro-2-Oxospiro (Azelidin-3', 4-Indol-2' Ones)," <i>Bollettino Chimico Farmaceutico</i> 133:76-79 (1994)					

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EXAMINER: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include a copy of this form with next communication to applicant.			

FORM PTO-1449		ATTY. DOCKET NO.	SERIAL NO.
LIST OF PATENTS AND OTHER ITEMS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)		38602-1220	09/897,755
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		FILING DATE: 7/3/01	GROUP: 1627

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KS	AN	Soldi et al., "Platelet-Activating Factor (PAF) Induces the Early Tyrosine Phosphorylation of Focal Adhesion Kinase (p125 <sup>FAK</sup> ) in Human Endothelial Cells," <i>Oncogene</i> 13(3):515-525 (1996)	
KS	AO	Tsai et al., "The Effect of 3,3-Di-Pyridyl-Methyl-1-Phenyl-2-Indolinone on the Nerve Terminal Currents of Mouse Skeletal Muscles," <i>Neuropharmacology</i> 31(9):943-947 (1992)	
KS	AP	Zaman et al., "Tyrosine Kinase Activity of Purified Recombinant Cytoplasmic Domain of Platelet-Derived Growth Factor β-Receptor (β-PDGFR) and Discovery of a Novel Inhibitor of Receptor Tyrosine Kinases," <i>Biochemical Pharmacology</i> 57(1):57-64 (1999)	
KS	AQ	Zhang et al., "Microtubule Effects of Welvistatin, a Cyanobacterial Indolinone that Circumvents Multiple Drug Resistance," <i>Molecular Pharmacology</i> 49:228-294 (1996)	

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KS	AL	WO 96/32380	10/17/96	WO/PCT (Bantistini)	—	—		
KS	AM	WO 96/22976	08/01/96	WO/PCT (Buzzetti)	—	—		
KS	AN	WO 96/40116	12/19/96	WO/PCT (Tang)	—	—		
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KS	AQ	Andreani et al., "Potential Antitumor Agents. 25[1]. Synthesis and Cytotoxic Activity of 3-(2-Chloro-3-Indolylmethylene) 1,3-Dihydroindol-2-Ones," <i>AntiCancer Research</i> 16:3585-3588 (1996)
	AR	Terrett et al., "Combinatorial Synthesis-The Design of Compound Libraries and their Application to Drug Discovery," <i>Tetrahedron</i> 51(30):8135-8173 (1995)
	AS	Coda et al., "3-(4-methylbenzilidene)-1,3-dihydroindol-2-one," <i>Journal of the Chemical Society, Perkin Transactions 2</i> 4:615-620 (1984), DATABASE CROSSFIRE, Beilstein No. 6-21
	AT	Wahl, "3-benzilidene-5-methyl-1,3-dihydroindol-2-one," <i>Ann. Chim.</i> p. 350 (1926), DATABASE CROSSFIRE, Beilstein No. 2-21-00-00290
KS	AU	Elliot, "1-methyl-2-(3-oxindolidenemethyl)-pyridinium," <i>Journal of Organic Chemistry</i> 29:2438-2440 (1964), DATABASE CROSSFIRE, Beilstein No. 5-24
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## U.S. PATENT DOCUMENTS

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KS	0 632 102	04/01/95	EPO (Roschger)	—	—	X	
KS	WO 97/25986	07/24/97	WO/PCT (Sato)	—	—	X	
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LIST OF PATENTS AND OTHER ITEMS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT		
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OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)		
CS	AA	Andreani et al., "Synthesis and potential coanthracycline activity of substituted 3-(5-imidazo[2,1-b]thiazolylmethylene)-2-indolinones," <u>Eur. J. Med. Chem.</u> 32:919-924 (1997)

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Form PTO-1449 (MODIFIED)	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTY. DOCKET NO. 038602-1220	SERIAL NO. 09/897,755
INFORMATION DISCLOSURE CITATION <i>(Use several sheets if necessary)</i>		APPLICANT Peng-Cho TANG, et al.	
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	5,886,020	3/23/99	Tang et al.	—	—	
	5,792,783	8/11/99	Tang et al.	—	—	
	5,883,116	3/18/99	Tang et al.	—	—	
	5,883,113	3/18/99	Tang et al.	—	—	
	5,880,141	3/23/99	Tang et al.	—	—	
	5,409,903	4/25/95	Spada et al.	—	—	
	5,196,446	3/23/93	Levitzki Al	—	—	
	5,322,590	6/21/94	Sircar et al.	—	—	

## FOREIGN PATENT DOCUMENTS

REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION	
						YES	NO

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

KS	Carey, F.A. and Sundberg, R.J., "Reaction and Synthesis," <u>Advanced Organic Chemistry, Part B</u> 3 <sup>rd</sup> edition: 55-60; Plenum Press
KS	March, J.; <u>Advanced Organic Chemistry</u> , 4 <sup>th</sup> edition; 109-111 and 127-130; John Wiley & Sons
KS	Gordon, E.M. et al., "Application of Combinatorial Technologies to Drug Discovery. 2. Combinatorial Organic Synthesis, Library Screening Strategies, and Future Directions," <u>J. Medicinal Chemistry</u> 37:10, 1385-1401

EXAMINER	DATE CONSIDERED
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